

ID Number	A1-2002-0044-Eng
Title	Civil Servants' Opinion Survey on Intergovernmental Relations in Korea: Metro Local Government

1. All things considered, what is your opinion on the current relationship between the central government and the metropolitan governments?
 - 1) Very cooperative relationship
 - 2) Mostly cooperative relationship
 - 3) Neither cooperative nor conflictual
 - 4) Mostly conflictual relationship
 - 5) Very conflictual relationship
 - 6) Do not know

2. All things considered, what is your opinion on the current relationship between the metropolitan governments and lower-level local governments following the adoption of the autonomous local government system?
 - 1) Very cooperative relationship
 - 2) Mostly cooperative relationship
 - 3) Neither cooperative nor conflictual
 - 4) Mostly conflictual relationship
 - 5) Very conflictual relationship
 - 6) Do not know

3. What do you think is most significant element in forming the relationship between the central government and local governments?
 - 1) Function allocation (transfer of authority, etc.)
 - 2) Financial resources (intergovernmental fiscal coordination, budgetary control, etc.)
 - 3) Human relations (authority over human resources, etc.)
 - 4) Administrative control (command, supervision, etc.)
 - 5) Do not know

4. What do you think is the key factor affecting the friendly cooperative relationship between the central and local governments?
 - 1) The ability of middle managers in the central and local governments to mediate its interests
 - 2) The personal capability (especially political) of the head of local government
 - 3) The cooperativeness of the officials of the central and local government
 - 4) Personal relationship based on frequent work related contact between officials
 - 5) Do not know
 - 6) Other (Please specify: _____)

5. In terms of the job performance of public officials, how would you compare national and local public officials?
 - 1) National public officials are significantly superior to local public officials
 - 2) National public officials are generally superior to local public officials
 - 3) No significant difference between the two
 - 4) Local public officials are generally superior to national public officials
 - 5) Local public officials are significantly superior to national public officials

6. From your own experience, in light of professional relations with national public officials, how would you evaluate the attitude of national public officials?
 - 1) Very cooperative
 - 2) Mostly cooperative
 - 3) Neither cooperative nor uncooperative
 - 4) Mostly uncooperative
 - 5) Very uncooperative

7. In the process of performing your duties, how often do you both officially and unofficially contact the following subjects? Also, out of those contacts what is the percentage of cases where you are the one to make the initial contact?

Subject of contact	Daily	Weekly	Monthly	Less than once a month	Percentage of initial contact*
7-1. National public officials of central government	1	2	3	4	_____
7-2. National public officials of specialized local administrative agency	1	2	3	4	_____
7-3. Local public officials of lower-level local government	1	2	3	4	_____

* "Percentage (%) of initial contact" means the percentage of contacts out of the total number of contacts for each subject that were self initiated. Furthermore, "contact" in this context represents actual exchanges through means such as phone calls, letters (written communications), and meetings, etc.

8. What are your main reasons for contacting public officials of central government?
 - 1) Seeking advice on professional, technical issues
 - 2) To discuss resolving work related issues
 - 3) Friendly call for the maintenance of amicable relations
 - 4) Due to procedural requirements in performing duties
 - 5) Funding negotiations
 - 6) Other (Please specify: _____)

9. What are your main reasons for contacting local public officials of lower-level local government?

- 1) Seeking advice on professional, technical issues
- 2) To discuss resolving work related issues
- 3) Friendly call for the maintenance of amicable relations
- 4) Due to procedural requirements in performing duties
- 5) Funding negotiations
- 6) Other (Please specify: _____)

※ Please indicate your opinion on the following issues by marking with a √.

Question -----	Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree	Do not know
-----	-----	-----	-----	-----	-----	-----
10. Local governments are still under the control of the central government even after the adoption of the autonomous local government system	1	2	3	4	5	6
11. Following the adoption of the autonomous local government system, the central government holds the right to command and supervise local governments only within the boundaries of the local government's conducting of state related business	1	2	3	4	5	6
12. In the current relationship between the central and local governments, the central government holds an overwhelmingly superior position	1	2	3	4	5	6
13. In the future, direct exchanges between the central and local government (without going through the metropolitan government) should be expanded	1	2	3	4	5	6
14. The level of autonomy of the metropolitan governments in their relationship with the central government exceeds the autonomy of the lower-level local governments in their relationship with the metropolitan governments	1	2	3	4	5	6
15. Based on your experience of performing official duties, what do you think about the current distribution of duties between the central and local governments?						
1) State duties are significantly greater						
2) State duties are somewhat greater						
3) There is a balance between the two						
4) Local government duties are somewhat greater						
5) Local government duties are significantly greater						
6) Do not know						

16. What do you think about the current level of clarity in the division of duties between the central and local governments?

- 1) It is in fact clearly defined
- 2) Functions are largely defined and it does not interfere with my duties
- 3) Although some functions are clearly defined, others are unclear and this sometimes leads to overlapping tasks interfering with my duties
- 4) Functional distinctions are largely ambiguous and leads to overlapping tasks which often interferes with job performance
- 5) Almost all functions are undefined leading to a widespread tendency of each agency claiming their rights and casting responsibilities on others
- 6) Do not know

17. Are you able to distinguish if your duties are 'delegated business' from the central government or 'inherent business' of the local government?

- 1) I am able to distinguish
- 2) I am able to partly distinguish
- 3) I am unable to distinguish

17-1. (Only relevant to those who answered "1 or 2" to Q17) If you are able to distinguish between 'delegated business' and 'inherent business', do you discriminate between the two in performing your duties?

- 1) Strongly agree
- 2) Somewhat agree
- 3) Strongly disagree
- 4) Do not know

17-2. (Only relevant to those who answered "1 or 2" to Q17) If you discriminate between conducting delegated and inherent businesses, which is conducted more carefully? Furthermore, please state the reasons behind doing so.

- 1) Delegated business is conducted more carefully
- 2) Inherent business is conducted more carefully

* Reasons: _____

18. From your experience of performing your duties, how much practical benefit do you think results from distinguishing between the central government's 'delegated business' and the local government's 'inherent business'?

- 1) Very much
- 2) Some
- 3) Very little
- 4) None
- 5) Do not know

18-1. (Only relevant to those who answered "3 or 4" to Q17) If you think there are no practical benefits of distinguishing between 'delegated business' and 'inherent business', what is your reason?

- 1) Because in reality, there is no difference between the control and supervision of the separate businesses
- 2) Because in reality, there is no difference in the financial support of the separate businesses
- 3) Because in reality, there is no difference in the non-financial support of the separate businesses
- 4) Do not know
- 5) Other (Please specify: _____)

19. What do you think is the most appropriate measure for improving such differentiation of businesses?

- 1) Converting only local-government delegated business to inherent business
- 2) Maintaining current method of differentiation
- 3) Converting both the local-government delegated business and agency delegated business to inherent business
- 4) Converting agency delegated business to national business
- 5) Converting local-government delegated business to inherent business, and converting agency delegated business to a joint business administered by more than 2 organizations from the administrative stratum
- 6) Do not know

20. What do you think about the current central government's degree of transfer of authority to local governments?

- 1) Highly sufficient
- 2) Mostly sufficient
- 3) Neither sufficient nor insufficient
- 4) Mostly insufficient
- 5) Highly insufficient
- 6) Do not know

20-1. (Only relevant to those who answered "4 or 5" to Q20) If you think the central government's transfer of authority is being 'insufficiently' conducted, what do you think is the main reason for such dissatisfaction out of the following?

- 1) Because in reality, the most important rights are not being transferred at all
- 2) Because important rights are only being partially transferred
- 3) Because following the transfer of authority other measures are utilized to impose control
- 4) Because financial and human resources are not transferred
- 5) Because legal measures are not taken following the agreement of transfer
- 6) Do not know

* Other (Please specify: _____)

- 20-2. (Only relevant to those who answered "4 or 5" to Q20) If you think the central government's transfer of authority is being 'insufficiently' conducted, what do you think is the main cause of the inadequate transfer of authority out of the following?
- 1) The central agency's fear of losing its vested interests
 - 2) The central agency's distrust of local public officials
 - 3) The lack of the central agency's determination to practice the autonomous local government system
 - 4) The local governments' lack of financial and administrative preparation
 - 5) The passive attitude (lack of interest/determination) of the head of the local government
 - 6) Do not know

21. Please select two areas among the businesses currently being wholly or partly administered by the central government which you think should preferentially be transferred to local governments.
- 1) Maintenance of domestic order
 - 2) Primary industries (agriculture/fisheries)
 - 3) Secondary industries/commerce area
 - 4) Financial/monetary area
 - 5) Social overhead capital area
 - 6) Science technology/information communication area
 - 7) Education/culture area
 - 8) Welfare area

※ The following two questions (Q22, Q23) should only be answered by those with experience of conducting functionally transferred businesses.

22. What is your impression of having conducted business which has been transferred from the central to local office?
- 1) Can now work with autonomy
 - 2) No particular impression
 - 3) Just feels like an increase in workload

23. In conducting transferred business, on the level of follow-up management by the central government, what do you think is the area that especially needs central support?
- 1) Specific instructions for business processes
 - 2) Conducting training
 - 3) Human resource and budgetary support
 - 4) Information/technology support
 - 5) Adjustment of the span of control
 - 6) Providing an ordinance

* Other (Please specify: _____)

24. In considering only the financial relationship between your local government and the 'central government', what is your opinion on the central government? Furthermore, if dissatisfied what is the reason for your dissatisfaction?

- 1) Very dissatisfied
- 2) Slightly dissatisfied
- 3) Neither satisfied nor dissatisfied
- 4) Slightly satisfied
- 5) Very satisfied
- 6) Do not know

* Reasons: _____

25. In considering only the financial relationship between your local government and the 'lower-level local government', what is your opinion on the lower-level local government? Furthermore, if dissatisfied what is the reason for your dissatisfaction?

- 1) Very dissatisfied
- 2) Slightly dissatisfied
- 3) Neither satisfied nor dissatisfied
- 4) Slightly satisfied
- 5) Very satisfied
- 6) Do not know

* Reasons: _____

26. Currently most local governments are experiencing financial difficulties. What do you think is the main cause behind such financial difficulties?

- 1) The reduction of financial support by the central government
- 2) The wasteful elements in the budget management of local governments
- 3) The operation and failure of impractical business ventures by local governments
- 4) The reduction of tax revenues due to economic downturn
- 5) The imbalance in the distribution of resources between the central and local governments
- 6) The pork-barrel budget spending by the head of the local government
- 7) Other (Please specify: _____)

27. Which of the following do you think is the most effective measure for the expansion of local public resources?

- 1) Increasing tax burdens or the creation of taxable items
- 2) Reducing wasteful elements and improving the efficiency of financial operations
- 3) Converting tax to local tax
- 4) Expanding the local grant tax and transferring national tax to local tax
- 5) Expanding the revenue-making business of local governments and the formation of industrial parks and the attraction of domestic and foreign companies
- 6) Do not know
- 7) Other (Please specify: _____)

28. What do you think is the most significant problem in the financial relationship between the central and local governments?
- 1) The unfair allocation of tax sources between the central and local governments
 - 2) The unreasonable management of the intergovernmental fiscal coordination system
 - 3) Non-compliance with the principle of office expense payment stipulated by law
 - 4) Lack of autonomy over the budget and account settlement
 - 5) Low tax rate on local subsidies
 - 6) The payment of subsidies at the end of the fiscal year
 - 7) Do not know
 - 8) Other (Please specify: _____)
29. In your opinion, what is the gravest issue concerning the financial relationship between the metropolitan and lower-level local governments?
- 1) The ambiguity of the allotment ratio among the do, si, and gun
 - 2) The illogicality of the collection subsidies ratio
 - 3) The imbalance in tax sources between the metropolitan and lower-level local government
 - 4) The illogicality in the allocation of local tax sources
 - 5) The distribution problem of the local tax autonomous district control grants
 - 6) Do not know
 - 7) Other (Please specify: _____)
30. In general, what is your opinion on the current intergovernmental fiscal coordination system?
- 1) It is very well operated
 - 2) It is mostly well operated
 - 3) It is neither poorly nor well operated
 - 4) It is mostly poorly operated
 - 5) It is very poorly operated
 - 6) Do not know
31. Currently, local subsidies, local grants, and national subsidies exist as part of the intergovernmental fiscal coordination system. In terms of its system and operation, which among these do you find the most problematic?
- 1) Local subsidy
 - 2) Local grant
 - 3) National subsidy
 - 4) Do not know
32. As part of the current intergovernmental fiscal coordination system, what do you think is the most serious problem of the 'national subsidy program' which is the most closely connected to the central government?
- 1) The excessive control and interference by the central government
 - 2) The uniform selection of subsidies and conditions without considering local characteristics
 - 3) The troublesomeness of subsidy related processes
 - 4) The excessive burden of local government expenditure as a consequence of subsidies
 - 5) The insufficiency of subsidy amount per case
 - 6) Do not know
 - 7) Other (Please specify: _____)

※ Please indicate your opinion on the following issues by marking with a √.

Question	Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree	Do not know
33. The central government is not complying with the principle of bearing the burden of administrative expenses incurred by national delegated businesses as stated by law in regards to local governments	1	2	3	4	5	6
34. The central government is utilizing intergovernmental fiscal transfers to local governments as means of controlling local governments	1	2	3	4	5	6
35. Local governments have relinquished national subsidies due to the lack of their ability to burden the costs of self procurement owing to poor financial conditions	1	2	3	4	5	6
36. Among local subsidies, the operation of allocating special subsidies is being too arbitrarily conducted	1	2	3	4	5	6
37. In regards to businesses which involve the burden of bearing local administrative expenses, the tendency of the central government enacting and amending legislations to transfer such burdens to local governments is on the rise	1	2	3	4	5	6
38. The central government, disregarding the standard rate of subsidies provided by law, demands the additional bearing of local administrative expenses	1	2	3	4	5	6
39. The local governments tend to refuse burdening the local administrative expense as provided by law	1	2	3	4	5	6
40. The local governments have a tendency of not complying with the basic budgeting guidelines as directed by the central government	1	2	3	4	5	6
41. There is a need for an institutional mechanism requiring by law the allocation among the do, si, and gun of local administrative expenses	1	2	3	4	5	6

42. A substantial part of state subsidized businesses which require the shouldering of local administrative expenses should be switched to local inherent business and transferred to local governments in the form of general resources

	1	2	3	4	5	6
--	---	---	---	---	---	---

43. The following are controversial issues regarding intergovernmental human relations today. Please indicate the seriousness of each issue.

Question	Very serious	Somewhat serious	Neither serious nor not serious	Not very serious	Not at all serious	Do not know
43-1. The appointment of the assistant chief (local position) by the head of the local government	1	2	3	4	5	6
43-2. The tendency of declining interchange of personnel between the central and metropolitan governments	1	2	3	4	5	6
43-3. The tendency of declining interchange of personnel between the central and metropolitan governments	1	2	3	4	5	6

44. The current government is said to be considering measures for switching the status of the assistant chief of local governments from a local to a state position. What is your opinion on this issue?

- 1) Agree
- 2) Disagree
- 3) Do not know

45. How do you think the exchange of personnel between the central and local governments should proceed in the future?

- 1) Actively expanded
- 2) Somewhat expanded
- 3) Prefer the current status
- 4) Somewhat reduced
- 5) Largely reduced (complete break)
- 6) Do not know

46. How do you think the exchange of personnel between the metropolitan and local governments should proceed in the future?

- 1) Actively expanded
- 2) Somewhat expanded
- 3) Prefer the current status
- 4) Somewhat reduced
- 5) Largely reduced (complete break)
- 6) Do not know

47. Currently, the Regulations on the administrative units and capacity standards for local governments states that the director of planning and management, the director of the office of civil defense, budget officers, and the chief of emergency planning must be appointed as state officials. On a national level, what do you think about such dual combination placement of local and state officials in local government?

- 1) The employment status of all state employees working in local governments should be localized
- 2) Instead of switching state positions to local positions the boundary of state positions should be downsized and modified
- 3) Since the current planning and policy making abilities of local governments have fallen behind, state positions should gradually be localized
- 4) The current dualistic system should be maintained
- 5) Do not know

47-1. (Only relevant to those who answered "1 or 2" to Q47) If you think state public officials should be eliminated, what is your reason?

- 1) Since they are not helpful in the management of local government
- 2) Because it defeats the purpose of the autonomous local government system
- 3) Since in reality they act as agents of the central government's command and supervision
- 4) Because their priority is the position of the central government rather than local conditions
- 5) Do not know
- 6) Other

48. What do you think about the current central government's control of local governments?

- 1) Too strict
- 2) Somewhat strict
- 3) Neither strict nor lenient
- 4) Mostly lenient
- 5) Very lenient
- 6) Do not know

49. Among the current control over local governments by the central government, what do you think has the greatest influence on local governments out of the following?

- 1) Control over local legislation
- 2) Control over administrative management
- 3) Control over organization/personnel
- 4) Control over local finance
- 5) Other

50. The central government's administrative control measures over local governments include authoritative measures such as "commands, audits, personnel control, prior authorization, and designation" and non-authoritative measures such as "guidance, support, and recommendations". On this basis, what do you think about the current central government's control measures over local governments?
- 1) Authoritative measures are predominantly used
 - 2) Authoritative measures are somewhat more commonly used than non-authoritative measures
 - 3) Authoritative and non-authoritative measures are almost equally used
 - 4) Non-authoritative measures are somewhat more commonly used than authoritative measures
 - 5) Non-authoritative measures are predominantly used
 - 6) Do not know

51. In reference to Q50, how do you think the administrative control measures between the current central government and local governments should change?
- 1) Authoritative measures should be utilized more
 - 2) Non-authoritative measures should be utilized more
 - 3) Do not know

52. In reference to Q50, how do you think the administrative control measures between the current metropolitan and lower-level local governments should change?
- 1) Authoritative measures are predominantly used
 - 2) Authoritative measures are somewhat more commonly used than non-authoritative measures
 - 3) Both authoritative and non-authoritative measures are almost equally used
 - 4) Non-authoritative measures are somewhat more commonly used than authoritative measures
 - 5) Non-authoritative measures are predominantly used
 - 6) Do not know

53. In reference to Q50, what do you think about the administrative control measures between the current metropolitan and lower-level local governments?
- 1) Authoritative measures should be utilized more
 - 2) Non-authoritative measures should be utilized more
 - 3) Do not know

54. What is your current position and grade?

Position _____ Grade _____

55. How many years have you worked since being appointed as a public official?

_____ years _____ months

56. How many years have you worked in your current position?

_____ years _____ months

57. Where does your organization belong among the following?
- 1) Seoul Metropolitan city
 - 2) Metropolitan city
 - 3) Do
 - 4) Si - population over 500,000
 - 5) Si - population under 500,000
 - 6) Gun
 - 7) Autonomous gu
58. Please indicate whether your organization is a "consolidated city", consolidated after January 1, 1995, and whether it is a rural-urban consolidated city combined with forms of rural and urban communities.
- 1) It is a "consolidated city" and a "rural-urban consolidated" city
 - 2) It is a "consolidated city" but not a "rural-urban consolidated" city
 - 3) It is not a "consolidated city" and naturally not a "rural-urban consolidated" city either
59. What is the prior occupation of the head of your organization?
- 1) Public official
 - 2) Politician
 - 3) Entrepreneur (businessmen)
 - 4) Other (Please specify: _____)
60. What is the party affiliation of the head of your organization?
- 1) New Korea Party
 - 2) National Congress for New Politics
 - 3) United Liberal Democrats
 - 4) Independent